

TRANSITIONS FROM VOCATIONAL QUALIFICATIONS TO HIGHER EDUCATION

This page intentionally left blank

TRANSITIONS FROM VOCATIONAL QUALIFICATIONS TO HIGHER EDUCATION

Examining Inequalities

EDITED BY

PALLAVI AMITAVA BANERJEE

University of Exeter, UK

DEBRA MYHILL

University of Exeter, UK



United Kingdom – North America – Japan – India – Malaysia – China

Emerald Publishing Limited
Howard House, Wagon Lane, Bingley BD16 1WA, UK

First edition 2019

Copyright © 2019 Editorial Matter and Selection © Pallavi Amitava Banerjee and Debra Myhill, published under exclusive license. Individual chapters © the respective Authors

Reprints and permissions service

Contact: permissions@emeraldinsight.com

No part of this book may be reproduced, stored in a retrieval system, transmitted in any form or by any means electronic, mechanical, photocopying, recording or otherwise without either the prior written permission of the publisher or a licence permitting restricted copying issued in the UK by The Copyright Licensing Agency and in the USA by The Copyright Clearance Center. Any opinions expressed in the chapters are those of the authors. Whilst Emerald makes every effort to ensure the quality and accuracy of its content, Emerald makes no representation implied or otherwise, as to the chapters' suitability and application and disclaims any warranties, express or implied, to their use.

British Library Cataloguing in Publication Data

A catalogue record for this book is available from the British Library

ISBN: 978-1-78756-996-6 (Print)

ISBN: 978-1-78756-995-9 (Online)

ISBN: 978-1-78756-997-3 (Epub)



ISOQAR certified
Management System,
awarded to Emerald
for adherence to
Environmental
standard
ISO 14001:2004.

Certificate Number 1985
ISO 14001



INVESTOR IN PEOPLE

Contents

List of Figures	vii
List of Tables	ix
About the Contributors	xi
Acknowledgements	xiii
Chapter 1 Introduction	
<i>Dan Herbert</i>	1
Chapter 2 Vocational Qualifications, University Access and Widening Participation: Setting the Context	
<i>Debra Myhill and Sharon Morgan</i>	13
Chapter 3 Statistical Analysis of National Data Sets: Exploring Demographics, Access and Progression of Students in Higher Education from Vocational Entry Routes	
<i>Pallavi Amitava Banerjee</i>	39
Chapter 4 Ways of Learning: Student Voices on Learning Experiences across the Transition	
<i>Debra Myhill and Sara Venner</i>	59
Chapter 5 Assessment Practices: Student Voices on Their Experiences of Assessment across the Transition	
<i>Debra Myhill and Rebecca Morris</i>	79
Chapter 6 Students' Voiced Experiences of Social Transitions: Facilitating a Sense of Belonging	
<i>Helen Mackenzie and Rebecca Morris</i>	95

Chapter 7 Lecturer Perspectives on Entry Qualifications and How They Affect Student Progress	
<i>Helen Lawson</i>	111
Chapter 8 Recommendations for Policy and Practice	
<i>Helen Lawson</i>	139
Chapter 9 Conclusion	
<i>Mital Kinderkhedia</i>	149
Glossary	153
Index	155

List of Figures

Chapter 1

Figure 1.	Degree Classification by Entry Qualification for 2016–2017 Graduates.	6
-----------	---	---

Chapter 3

Figure 1.	Undergraduate Students in Higher Education by Qualification Route and Provider.	48
Figure 2.	Students with BTEC Qualifications in Undergraduate Courses.	49
Figure 3.	Degree Outcomes by HE Entry Prior Qualification Route.	51
Figure 4.	Destination of Leavers in Higher Education: BTEC versus Non-BTEC Students.	53

This page intentionally left blank

List of Tables

Chapter 1

Table 1. The Development of VET in the UK. 3

Chapter 8

Table 1. A Taxonomy of Student Diversity. 147

This page intentionally left blank

About the Contributors

Pallavi Amitava Banerjee is a Senior Lecturer in the Graduate School of Education at the University of Exeter. She researches social inequalities of access to higher status universities, and on patterns and processes of progression across the student life cycle and social mobility across multiple generations. She is an accredited Researcher of the Office for National Statistics and a Fellow of the Higher Education Academy, the Royal Society of Biology and the Royal Society of Statistics.

Dan Herbert is Professor of Management Education and Director of Education at Birmingham University's Business School with responsibility for developing high-quality education programmes for the School's undergraduate and post-graduate students. Originally trained as an accountant at the National Audit Office, he has taught in further education as well as at the University of Worcester, Oxford Brookes University and the University of Birmingham.

Mital Kinderkhedra worked as a research associate at Queen Mary University of London (QMUL), joining the last phase of the Transitions Project. Her role involved running focus groups and interview research on the use of Online Modules across the partner institutions. She has an undergraduate in Computer Systems (Thomson Rivers University), Masters in Machine Learning (University College London (UCL)), Masters in Financial Engineering, Birkbeck, MRes in Financial Computing, UCL and is wrapping her PhD in Computational Statistics. She currently works at the Department of Computer Science, University of Oxford.

Helen Lawson is Project Manager and Research Fellow for the Transforming Transitions project. She has over 20 years' experience of conducting research and evaluations, both in this country and overseas, for a variety of organisations including universities, charities, NGOs and community groups. She has also spent a number of years living and working in Latin America and is a fluent Spanish speaker.

Helen Mackenzie is a Research Associate in the Mathematics Education Centre at Loughborough University. She has a MA in Research Methods in Education and her PhD focused upon students' personal experiences of transition within higher education. She is particularly interested in research that examines how different students' transitions might be best supported and enhanced.

Sharon Morgan is an Associate Tutor in Education, and was a Research Fellow on the *Transforming Transitions* project. Her background is as a secondary English teacher, and she completed her doctorate in 2018, researching metalinguistic understanding in writing.

Rebecca Morris is an Assistant Professor in the Centre for Education Studies at the University of Warwick. Her research predominantly focuses on issues relating to current education policy and practice. At present she is working on projects including examining teacher shortage in England, evaluating a new approach to feedback in secondary schools and a review of the evidence on formative assessment in higher education.

Debra Myhill is Professor of Education at the University of Exeter and is the Director of the *Centre for Research in Writing*. Her research interests focus principally on writing, the teaching of writing, being a writer, and the relationship between writing and talk. She has a particular interest in social disadvantage and how literacy can empower and enable both social and academic success.

Sara Venner is an Associate Research Fellow in the Graduate School of Education. A former Primary Teacher and English Lead, her research interests are predominantly around the teaching of grammar and writing in the Primary National Curriculum, and how creative and explicit pedagogies can enable children to make progress in their writing. She is currently studying for an MA in Language and Literacy.

Acknowledgements

We are grateful for the support of the teachers and leaders of the eight institutions who contributed to the project underpinning this book: University of Exeter, University of Birmingham, Loughborough University, Queen Mary University of London, Exeter College, City and Islington College, Leicester College, and Hereford Sixth Form College. Particular thanks go to Professor Tim Quine, Deputy Vice-Chancellor of Education at the University of Exeter for chairing the Steering Group for the project, and for his committed engagement with the project and its outcomes.

We are also very grateful to Pearson, particularly Grace Grima and Hayley Dalton, who were involved in the project from its inception, and provided both venues for meetings, and advice and information on vocational qualifications throughout the project.

This page intentionally left blank

Chapter 1

Introduction

Dan Herbert

Vocational Education and Training in Context

In most countries, post-secondary education is, at least in part, split between what may be termed ‘academic’ and ‘vocational’ pathways. Academic pathways are most often aimed at preparing students for higher, degree level, education whilst vocational pathways are aimed primarily at preparing students for employment. The status and quality of the vocational pathways vary, with some countries, for example, Germany (Deissinger, 2015), being seen as an example where the vocational and academic pathways have equal status. In others, including the UK, the vocational pathway in post-secondary education has often been viewed as an inferior choice for those not able to pursue further academic study (Unwin, 2004). In the UK, vocational pathways are disproportionately followed by students from low socio-economic backgrounds.

Whatever its perceived status, Vocational Education and Training (VET) is economically valuable and supports the skills needed in society. The Organisation for Economic Co-operation and Development (OECD) identifies short- and long-term benefits from VET for individuals, employers and society. Individuals benefit in the short term from improved employment chances, enhanced earning levels and increased work satisfaction. Employers’ short-term benefits include higher productivity from a well-trained workforce and saved costs from recruiting external skilled workers. Society benefits from reduced welfare costs due to higher employment arising from improved transition from education to employment. In the longer term, those undertaking VET tend to access further training later in their careers, employers experience lower staff turnover and society gains from productivity increases and the increased tax revenues this leads to (Hoeckel, 2008).

The provision of VET and the nature of qualifications vary considerably in different countries and contexts. In some countries, such as the Netherlands (MBO, n.d.), the focus is primarily on preparing students for a particular occupation, often linked to an apprenticeship or periods of work experience. VET is

delivered in specialist colleges often with a focus on, and strong links with, a particular industrial sector. In others, such as France (MNE, 2010) and the UK, VET also contains a significant proportion of academic general education, and the academic and vocational pathway curricula overlap. Where this is the case, VET may be focussed on career preparation but may also act as a route to higher education. This range of provision means that it is difficult to arrive at a single definition of VET that captures the full range. Moodie (2002, p. 260) suggests that ‘one may consider vocational education and training to be the development and application of knowledge and skills for middle-level occupations needed by society from time to time’. Whilst this definition is too general to be applied to specific instances of VET provision, it does capture the key elements. VET is a distinct set of education provision separate to general academic education and provided in ways that support the development of skills, knowledge and behaviours for the labour market.

In almost all countries, a qualifications framework is used to rank VET by level and, in Europe, the European Qualifications Framework (EQF) allows for international comparisons. The EQF allows national qualifications to be compared against set criteria and for VET qualifications to be benchmarked for level against general academic qualifications. In England, Wales and Northern Ireland, the current framework is called the Regulated Qualifications Framework (RQF). The RQF ranks programmes by ‘difficulty’ and the time taken to study for them. The UK BTEC Extended Diploma qualifications that are the focus of this work are level 3 on the UK national RQF, which equates to level 4 of the EQF. This represents the highest level of qualification below the Bachelor’s degree level and the academic A-level qualification is also ranked at level 3 of the RQF.

The Development of Vocational Education in England

The roots of the current English system of VET lie in the late nineteenth century when, in 1867, the Schools’ Enquiry Commission identified that a relative lack of technical education compared to other European countries was putting the country at a disadvantage. This related in particular to the technical skills needed for developing manufacturing businesses. In 1875, the City and Guilds of the London Institute for the Advancement of Technical Education was founded, offering a wide variety of courses and examinations in a range of crafts. The development of VET progressed in a haphazard manner with responsibility for VET being seen to lie with employers rather than the state taking responsibility as happened in countries such as Germany (Foreman-Peck, 2004). This situation and the lack of formal structure continued through to the early 1970s.

The origins of the BTEC qualification stem from the formation in 1973 and 1974 of the Technical and Business Education Councils (TEC and BEC). These bodies were formed to provide an improved structure for, and regulation of, VET. The TEC and BEC were merged in 1983 to form BTEC. However, the

position of the Council was not secure and in 1994 the development of National Vocational Qualifications (NVQs) as a new VET qualification seemed to threaten other VET qualification frameworks. The NVQ framework was withdrawn in 2015 and since that time BTEC has become a core qualification for 16–19 education.

The BTEC National Extended Diploma is the most significant core level 3 RQF qualification that provides students with a vocational pathway that may lead to employment or university study. It is this qualification which has been the focus of the research underpinning this book. The BTEC Extended Diploma is now recognised as a popular entry route to university, and as can be seen in [Table 1](#), it has equivalence with A-level. In the UK, qualifications acceptable for university entry are awarded tariff points by UCAS. Under this scheme, students achieving the highest D*D*D* grading in a BTEC Extended Diploma achieve the same tariff point score (168) as those achieving 3 A-levels at A*, the highest grade for an A-level (UCAS, 2018). From 2016 onwards, revised BTEC Nationals in 28 subject areas have been introduced, updating and improving the existing qualification. The revised qualification has an increased emphasis on preparing students for further study with improvements to the content and structure of the award as well as revised assessment processes.

Since the 1990s, there has been common political agreement that the status and quality of VET need to be improved if it is to provide the desired outcomes for individuals, businesses and society. A series of reviews and reports have addressed this issue but the VET provision in the UK is still confused and lacking in structure. In 2016/2017 students were enrolled on around 4,700 level 2 and level 3 VET qualifications. The recent political focus on VET has led to a number of reports that investigated the provision of VET. Of these, the Wolf

Table 1. The Development of VET in the UK.

RQF Level	EQF Level	Example Qualification	
8	8	Doctoral degree	
7	7	Master's degree	
6	6	Bachelor's degree	
5	5	Higher National Diploma	
4		Higher National Certificate	
3	4	A-level and BTEC Extended Diploma	
2	3	GCSE (Grades A*–C)	
1	2	GCSE (Grades D–G)	
Entry	E3	1	Skills for Life award
	E2	N/A	
	E1	N/A	

Report (2011) was the most influential and identified significant areas of weakness in VET provision. This report was the most wide-ranging review of VET in England and made recommendations across a range of policy, regulatory and quality issues. Of relevance to the BTEC qualification is the recommendation concerning the nature of qualifications.

16–19 year old students pursuing full time courses of study should not follow a programme which is entirely ‘occupational’, or based solely on courses which directly reflect, and do not go beyond, the content of National Occupational Standards. Their programmes should also include at least one qualification of substantial size (in terms of teaching time) which offers clear potential for progression either in education or into skilled employment.

(Wolf, 2011, p. 14)

The clear recommendation is that VET qualifications should not be purely focussed on preparation for a specific occupational role but should also contain substantial subject content that allows for progression in education, presumably to degree level study, if a student does not move directly into employment.

Perhaps the most significant development in English VET flowing from the recommendations of the Wolf Report is the development of T levels. The first of these new qualifications will launch in 2020 in three subject areas with a further seven areas launching in 2021. T levels will offer an alternative VET pathway for students not wishing to pursue the A-level-based general education pathway in post-secondary education. The objective of T levels is to improve the quality of VET by providing a qualification with general academic content ensuring minimum standards in Mathematics and English, core skills and theory related to an industry sector, specialist skills and knowledge for a specific career and a period of work experience.

In May 2019, a review of Post-18 Education and Funding (the Augar Review) was published. It formed the most comprehensive review of all post-18 education, both vocational and academic, since 2011. The review placed a strong emphasis on the need for improved technical and vocational education. In particular it identified the need for flexibility in entry points, for example, by allowing students with a RQF level 4 qualification to join a degree programme to up-skill or re-skill themselves perhaps after a period away from formal education. The report envisages a regime whereby individuals can access funding based on modules studied rather than whole programmes. Whilst the review focussed on the changes to funding regimes that would be needed to facilitate this flexibility, the proposal poses a pedagogic challenge. How will universities offering more flexible entry routes ensure that students, perhaps predominantly with vocational qualifications, are able to transition effectively back into formal education? The evidence presented in this book regarding the challenges faced by students and the necessary responses by education providers may help inform the design of educational programmes that enable effective transition into education at different stages.

The Augar Review also comments on the structural issues relating to the increasing numbers of students entering degree level education with BTEC qualifications. In particular, it notes the marked increase in students achieving the highest grades in their BTEC studies and the apparent generous treatment of BTEC when allocating UCAS tariff points on which universities make offers to students. The review also comments on the difficulties faced by Further Education Colleges (FEC) which are the main providers of BTEC and other vocational education. The report notes that FECs are subject to a complex regulatory regime, low levels of per-student funding and that staff are paid at levels below equivalent staff in schools and universities. The report's recommendations for FECs relating to funding, investment and regulation present an opportunity for improvements in VET that allow students to be better prepared for further study if they choose to take this route.

The Transforming Transitions Project

The project that forms the basis of this book arose from the need to address the issues that arise when students with BTEC qualifications progress to higher education. The BTEC is a specialist work-related qualification (Pearson, 2018) and whilst it may also provide a route to further study, this is not the sole or primary aim. However, the popularity of the qualification has resulted in increasing numbers of students studying them and also progressing to further study. In 2018, approximately 10% of all university entrants in the UK had studied only for a BTEC qualification. However, this headline figure hides the imbalanced nature of the progression from BTEC to degree level study. BTEC entrants tend to study for a narrow range of degree subjects (Business, Sport and Exercise Science, Health-related professions) at lower entry tariff universities.

The particular issue which provided the impetus for the project was the concern that students with vocational qualifications, such as the BTEC, were not accessing the most selective universities and were not progressing through university in the same way that students with A-levels do. Indeed, the data suggest that the outcomes for students entering University with BTEC qualifications are worrying. BTEC qualified students are less likely to achieve a 'good degree', that is, one awarded at first or upper second class honours using the UK classification system, when compared with A-level students. Higher Education Funding Council for England (HEFCE) data (HEFCE, 2018) show that even those with the best BTEC results on university entry tend to achieve degree outcomes which are in line with those with mid to low A-level results. Figure 1 shows these relationships and that those with the highest ranked BTEC results (D*D*D*) achieve first and upper second class honours awards at a level that compares with those achieving relatively low grade (CCC) A-level equivalents. The pattern is similar for the award of first class honours.

Moreover, aside from eventual degree outcomes, BTEC qualified students are also less likely to complete their studies. Figures for withdrawal from university after one year of study show that BTEC qualified students have higher dropout

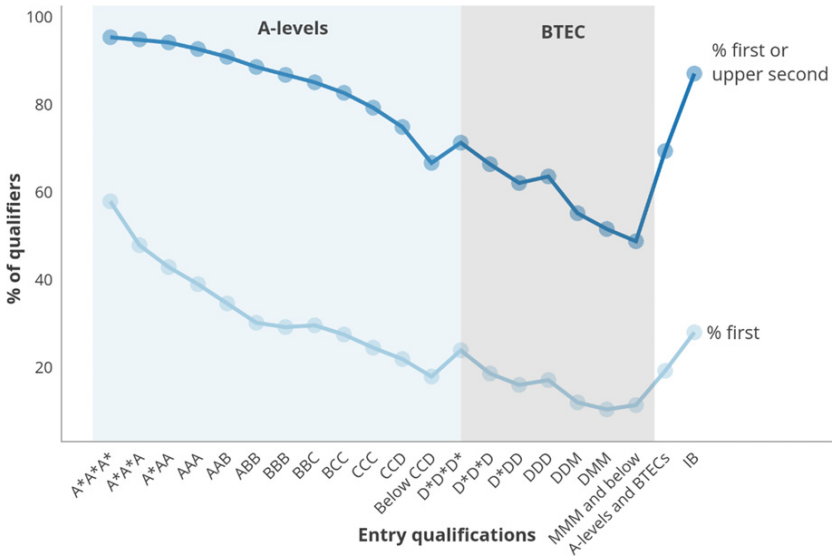


Figure 1. Degree Classification by Entry Qualification for 2016–2017 Graduates.

rates. The evidence shows that BTEC students are more likely to drop out of university when compared with those on a traditional academic pathway, even when accounting for prior attainment (Hayward & Hoelscher, 2011). This emerging pattern of differential outcomes comes in the face of evidence which suggests that young people with more access to the types of programmes and activities (e.g. work experience, career talks, workplace visits and so on) are equipped with better networks and knowledge of labour market and make more informed decisions leading to a more successful transition to adult employment (OECD, 2010; Symonds, Schwartz, & Ferguson, 2011).

The transition to university is an important educational step for students yet the impact of transition experience on final outcomes is poorly understood. There is evidence that personal and academic issues and student expectations that are not addressed during the transition can lead to feelings of estrangement that may contribute to the marked differential outcomes on graduation (Jones, 2018). The Transforming Transitions project was inspired by the desire to better understand the transition journey of BTEC students and to discover whether improving this journey could lead to a closing of the outcome gaps. The project was funded by the HEFCE (now Office for Students) Catalyst programme, Addressing Barriers to Student Success (HEFCE, 2017). This programme was a suite of projects across the country designed to improve the outcomes of students from all backgrounds, and was a response to the earlier work, commissioned by HEFCE, which had highlighted the problem of differential outcomes for students from different groups (Mountford-Zimdars et al., 2015). This had

focused on the poorer outcomes for black and minority ethnic (BME) students, students from low socio-economic backgrounds, disabled students and mature students. Our particular interest in vocational education, particularly the BTEC, stemmed from the research which indicated that these students are more likely to be from one or more of these groups, and that the relationship between vocational education, social disadvantage and degree outcomes had not been fully explored.

A key feature of the Transforming Transitions project work was the extent of partnership working between institutions and across the FE/HE divide. Four universities, the University of Exeter, Loughborough University, the University of Birmingham and Queen Mary University of London, and four providers of BTEC qualifications, Hereford Sixth Form College, Exeter College, Loughborough College and City and Islington College, collaborated on the project. This collaboration between universities and BTEC providers was significant in allowing for new insights into the process of transition. Research on student transitions has typically focussed on interventions put in place only once a student has arrived in the new university educational environment (e.g. [Leese, 2010](#)). However, transition is a process that starts with the preparation of students for the next stage of their education and this project has specifically explored transition from both the FE and the HE perspectives. In addition, the four universities were all selective, research-intensive institutions because the data show that BTEC students in these universities are less likely to complete than those elsewhere; the salary gap between BTEC students and traditional students, although narrowing, is significant and at its largest in the Russell Group of research intensive universities.

This book considers the potential of BTEC (and other vocational qualifications) as preparation for degree level study. In doing this, we wish to remove the 'deficit-discourse' agenda often linked with VET education pathways, but rather to focus on understanding the challenges faced by students, teaching staff and institutions across the transition. The ongoing discussion around wider and fairer access to university education has centred on looking at defined sub-cohorts of students based on traits such as gender, ethnicity, school, neighbourhood and class ([Gorard, Boliver, Siddiqui, & Banerjee, 2019](#)). Too little has been done to relate these issues to the qualifications students undertake prior to joining university. Where this issue has been discussed, evidence that vocational qualifications are not viewed by all universities as an acceptable entry route ([Gicheva & Petrie, 2018](#)) has been found, and accusations of 'BTEC snobbery' have been made ([Savage, 2018](#)). If ambitions for wider access are to be met, we need to understand and overcome the possible difficulties faced by those joining university with vocational qualifications.

This book, then, studies the vocational pathway to university education from a number of perspectives drawing on both qualitative and quantitative research processes. Through statistical analysis of national datasets, an up-to-date understanding of vocational students' access to and progression through university is offered. Through in-depth interviews with students and teachers in both FE and HE, the views of students and academic staff on practical and academic issues

are explored, such as how students adjust to new ways of learning, and wider issues such as the social challenges that face students.

In Chapter 2, we present a detailed overview of recent research which has explored the inequalities of educational outcomes generally, and related to vocational education specifically. The chapter considers perceptions of the value of vocational qualifications, and differential outcomes from university in terms of academic progression, degree outcomes and employment destinations. It also discusses student choices and their learner and social identity and how that might inform our understanding of the differential outcomes for students with a vocational entry qualification.

In Chapter 3, we summarise findings from the analysis of national datasets, government reports and academic literature. We investigate whether students taking up the vocational route have shared characteristics in terms of their background. We also investigate the reasons which motivate students to take up this route instead of the more popular academic routes. We discuss whether cultural capital or vocational habitus may have a role to play. We then follow up entrants in higher education from different qualification routes to see which subjects they study and how their degree outcomes compare. We measure degree outcomes via their results and destination after completion of the undergraduate degree.

The transition from the learning experiences of vocational education to university study may be more difficult than for those from an academic pathway. The skills developed during a vocational programme, such as problem-solving and team-working, are not typically those valued by universities. Universities, especially selective, research-focussed universities, predominantly value academic skills. Chapter 4 presents students' perspectives of the different ways of learning they experience across the FE/HE transition, and highlights both the particular challenges of transitioning into ways of learning at university and how these challenges are frequently shared by students entering with conventional A-level qualifications.

Chapter 5 offers an analysis of students' experience of assessment across the transition, which emerged as a particularly significant concern for them. Typically, vocational programmes use ongoing, coursework-based assessment, sometimes undertaken collaboratively. When they arrive at university they may face an assessment regime that still prioritises the traditional academic essay and examination-based assessment. The dominant culture in universities values independent learning and whilst all students may find this challenging (Spiro, Henderson, & Clifford, 2012), those from vocational pathways may face greater challenges. But the student voices also highlight that for many students, regardless of entry qualification, the shift from very highly supported and focussed assessment practices to more generalised assessment with broader criteria and more limited feedback is a real transition issue.

Whilst students' experiences of learning and assessment across the FE/HE transition are of obvious importance, the students themselves also identified other non-academic challenges as they move from FE into university. In Chapter 6, we share the social issues which students describe, and how those